

04/26/01

11031 U.S. PTO

4-30-01

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Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 83000.1000CPAC/P28680  
First Inventor GUERRERO, J.  
Title METHOD AND APPARATUS FOR CONTROLLING....  
Express Mail Label No. EL705174458US

11000 U.S. PTO  
09/844258

04/26/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 35]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
- Oath or Declaration [Total Pages 2]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s)) (copy from prior app.)
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Supp. Util. App. Transmittal; Change of Firm Name and Address

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09, 054,068

Prior application information:

Examiner Joseph, T.

Group Art Unit: 2173

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name Gary A. Hecker, Esq.  
THE HECKER LAW GROUP  
Address 1925 Century Park East, Suite 2300  
City Los Angeles State California Zip Code 90067  
Country USA Telephone (310)286-0377 Fax (310)286-0488

Name (Print/Type) Gary A. Hecker Registration No. (Attorney/Agent) 31,023  
Signature [Signature] Date 4/26/01

Burden Hour Statement: This form is estimated to take 1/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

This is a request for filing a

- ☒ Continuation application  
☐ Divisional application

under 37 CFR 1.53(b), of pending prior application

Serial No. 09/054,068

filed April 2, 1998

of GUERRERO, J.

for: METHOD AND APPARATUS FOR CONTROLLING THE DISPLAY OF HIERARCHICAL  
INFORMATION

- ☐ 1. Please enter the preliminary amendment, enclosed herewith.
- ☐ 2. Please cancel claims 2-27.
- ☒ 3. Please amend the Specification by inserting after the title, the sentence:  
--This is a continuation of application Serial No. 09/054,068 filed  
April 2, 1998.--
- ☒ 4. The prior application is assigned to: SUN MICROSYSTEMS, INC.
- ☒ 5. The Power of Attorney in the prior application is to:

Gary A. Hecker et al. of  
THE HECKER LAW GROUP  
(previously Hecker & Harriman)

- ☒ (a) The Power appears in the original papers of the prior application.
- ☐ (b) Since the Power does not appear in the original papers, a copy of the Power in  
the prior application is enclosed.

- X (c) Recognize as associate attorney and address all future communications to:

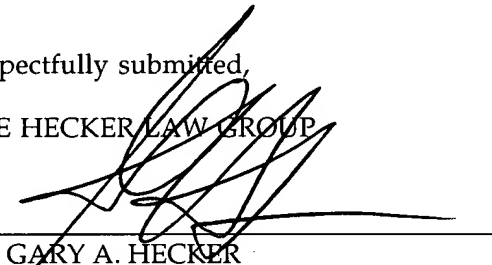
Gary A. Hecker  
 THE HECKER LAW GROUP  
 1925 Century Park East, Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

- X 6. It is hereby requested that any request for a convention priority made in the prior application be transferred to the present application.
- \_\_\_ 7. Applicant hereby petitions for an extension of time of \_\_\_\_\_ pursuant to Rule 1.136, if one is needed, for the above-noted prior application. A duplicate copy of this sheet is enclosed for filing in the proper application file.
- \_\_\_ 8. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account Number 08-1520. A duplicate of this authorization is enclosed.

Respectfully submitted,

THE HECKER LAW GROUP

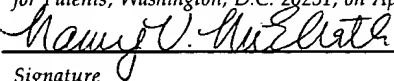
Date: April 26, 2001

By:   
 GARY A. HECKER  
 Reg. No. 31,023  
 \_\_\_ Inventor(s)  
 \_\_\_ Assignee of Complete Interest  
X Attorney of Record in prior application.

1925 Century Park East  
 Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705174458US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on April 26, 2001:*

 4-26-01  
 Signature Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: )  
 )  
 GUERRERO, J. )  
 )  
 Serial No.: Unassigned )  
 )  
 Filed: Herewith )  
 )  
 Title: METHOD AND APPARATUS FOR )  
 CONTROLLING THE DISPLAY OF )  
 HIERARCHICAL INFORMATION )

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CHANGE OF FIRM NAME  
and ADDRESS

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Please note that the undersigned's former firm name "Hecker & Harriman" has been changed to **THE HECKER LAW GROUP**. This is a change in name only, not in the entity of record.

The firm name should appear on all issued patents as follows:

THE HECKER LAW GROUP

Also, please note our current address.

THE HECKER LAW GROUP  
 1925 Century Park East, Suite 2300  
 Los Angeles, California 90067

Please update all records and direct all correspondence to:

Gary A. Hecker, Esq.  
THE HECKER LAW GROUP  
1925 Century Park East, Suite 2300  
Los Angeles, California 90067

The telephone number and fax number remain the same.

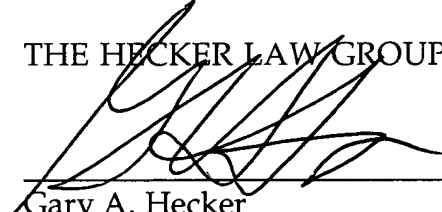
Telephone No.: (310) 286-0377  
Fax No.: (310) 286-0488

Respectfully submitted,

THE HECKER LAW GROUP

Date: April 26, 2001

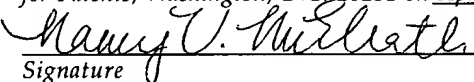
By:

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

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Suite 2300  
Los Angeles, California 90067  
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\_\_\_\_\_  
Signature Date